Encounter Data System User Group

October 4, 2012

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Agenda

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Introduction

The purpose of this session is to provide Medicare Advantage Organizations (MAOs) and other entities with information on policy and operational guidance on testing and submitting production data to the Encounter Data System (EDS).

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MAOs and Other Entities

- CMS requires the following types of organizations to submit encounter data:
  - Medicare Advantage (MA) Plans
  - Medicare Advantage-Prescription Drug (MA-PD) Plans
  - Health Maintenance Organizations (HMOs)
  - Special Needs Plans (SNPs)
  - Local Preferred Provider Organizations (PPOs)
  - Regional PPOs
  - Employer Group Health Plans
  - Programs of All-Inclusive Care for the Elderly (PACE) Plans
  - Cost Plans (1876 Cost HMOs/CMPs and 1833 HCPPs)
  - Medical Savings Account (MSA)
  - Private Fee-for-Service Plans (PFFS)
  - Religious Fraternal Benefit Plans (RFBs)
  - Provider Sponsored Organizations (PSO)

Session Guidelines

- This is a one (1) hour Encounter Data User Group session.

- If time allows, the Encounter Data team will respond to questions.

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CMS Updates

End-to-End Certification Status

Certification Status as of 10/02/2012

219 Total Submitters/ 586 MAOs and Other Entities	Professional	Institutional	DME	PACE
Number of Submitters Certified	201	148	123	1
Certified MAOs and Other Entities Represented	558	506	437	3

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Professional Certification Compliance

	Testing Commenced	Deadline for Certification
Professional Encounters	1/4/2012	5/31/2012

- CMS will not begin compliance measures without advance notice; however, the End-to-End Testing/Certification timeline has not changed.

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System Volume/Stress Testing – Edits Analysis

- The EDPS performed system volume/stress testing on four (4) professional files (197,635 encounters).

	Total Encounters	Encounters Accepted	Encounters Rejected
File 1	44,355	43,212 (97%)	1,143 (3%)
File 2	53,933	50,535 (94%)	3,398 (6%)
File 3	54,616	51,986 (95%)	2,630 (5%)
File 4	44,731	43,126 (96%)	1,605 (4%)
TOTALS	197,635	188,859 (96%)	8,776 (4%)

- Total Processing Errors – 19,145
  - Reject Errors – 14,420 (75%)
  - Informational Errors – 4,725 (25%)

System Volume/Stress Testing – Edits Analysis (cont'd.)

- The most commonly generated error code was 32005 "Payer ID not DME for DMEMAC Jurisdiction".
  - 26% of the total error codes generated

- The second most commonly generated error code was 98325 "Exact Duplicate of a Service Line within this Claim or a Previously Priced Claim".
  - 25% of total error codes generated

- There was only a 2% difference in the encounter record rejection rate between MAO-002 reports for volume/stress testing and production.

EDS File Size Limitations

- Due to EDS limitations, the combination of all ST-SE transaction sets per file must not exceed certain thresholds.

- Effective the week of October 8, 2012, the EDS will implement front-end edits that will reject and return to the submitter all file submissions containing more than 5,000 encounters per transaction set (ST-SE) or more than 85,000 encounters per file.

EDS File Size Limitations - Edits

FILE NAME: MAB.PROD.EDST.XOUT.ENC9996.D12276.T1001342.I
FILE CONTROL: 000000001
NUMBER OF CLAIMS: **11,000**

FILE WAS NOT SENT TO THE EDPS BACK-END PROCESS FOR THE FOLLOWING REASON(S)

TRANSACTION SET CANNOT EXCEED 5,000 CLAIMS

FILE NAME: MAB.PROD.EDST.XOUT.ENC9996.D12276.T1015142.P
FILE CONTROL: 000000002
NUMBER OF CLAIMS: **86,000**

FILE WAS NOT SENT TO THE EDPS BACK-END PROCESS FOR THE FOLLOWING REASON(S)

TRANSACTION SET (ST/SE) 0012 CONTAINS 6,000 CLAIMS, ONLY 5,000 CLAIMS ARE
ALLOWED PER TRANSACTION SET

FILE CANNOT EXCEED 85,000 CLAIMS

Production Submission Status – Professional

Production Submission Status:

May 1, 2012 – October 2, 2012

PROFESSIONAL ENCOUNTER DATA SUBMISSION	
Total Encounters Processed	**8582213**
Total Encounters Accepted	**8173925**
Percentage Accepted	95%
Total Encounters Rejected	**408288**
Percentage Rejected	5%

Production Submission Status – Institutional

Production Submission Status:

May 1, 2012 – October 2, 2012

INSTITUTIONAL ENCOUNTER DATA SUBMISSION	
Total Encounters Processed	**11227**
Total Encounters Accepted	**10257**
Percentage Accepted	91%
Total Encounters Rejected	**970**
Percentage Rejected	9%

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Production Submission Status – DME

Production Submission Status:

July 1, 2012 – October 2, 2012

DME ENCOUNTER DATA SUBMISSION	
Total Encounters Processed	**4472**
Total Encounters Accepted	**4403**
Percentage Accepted	98%
Total Encounters Rejected	**69**
Percentage Rejected	2%

Production Data Catch-Up Plan

- CMS has initiated a "catch-up" plan for the submission of production data for 2012 DOS beginning January 1, 2012 and forward.

- "Catch-up" production data should include all Professional, Institutional, and DME encounters.

- End-to-end certified MAOs and other entities should submit production data in monthly increments, beginning with the earliest dates of service, regardless of the number of contract enrollees.

  – January 2012, February 2012, March 2012, etc.

Production Data Catch-Up Plan

Production Data "Catch-Up" Schedule

PRODUCTION DATA SUBMISSION DEADLINE	DOS TO BE SUBMITTED
October 15, 2012	January 2012
October 29, 2012	February 2012
November 12, 2012	March 2012
November 26, 2012	April 2012
December 10, 2012	May 2012
January 7, 2013	June 2012
January 21, 2013	July 2012
February 4, 2013	August 2012
February 18, 2013	September 2012
March 4, 2013	October 2012
March 18, 2013	November 2012
March 29, 2013	December 2012

- MAOs and other entities are encouraged to submit as much data as possible prior to these deadlines.

Production Data Catch-Up Plan

- MAOs and other entities should submit full encounters within the designated timely filing period.

- The timely filing periods have not been revised or extended for production data catch-up encounters.

SUBMISSION TYPE	TIMELY FILING DEADLINE
Full Encounter	13 months from the DOS • Institutional – "through" DOS • Professional – Service Line DOS
Correct/Replace or Void/Delete Encounter	13 months from the DOS not to exceed 30 days after the adjudication date of the adjusted claim
Chart Review Encounter	Within 25 months of the data collection period

Thursday, October 4, 2012
3:00 P.M. – 4:00 P.M. ET

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Termination of Plans

Termination – 2012	Termination – 2013 and Beyond
• If a parent organization terminates all contracts with CMS effective 2013, the organization is not required to submit encounters with 2012 DOS.	• If a parent organization terminates all contracts with CMS effective 2014 and beyond, the organization must submit all encounters with DOS for the last active year.
• If a parent organization terminates one or more contracts with CMS, but has other contracts with CMS, the organization must submit encounters with 2012 DOS for all contracts.	• All encounters must be reported for any active period no matter when the encounter is adjudicated or when the plan is terminated.

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EDS Updates

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Incident Tracking
and Known Issues

Incident Tracking Tool

- As of October 1, 2012, 357 incidents have been received.

# of Resolved Incidents	**320**
# of Pending Incidents	**37**
Related to Known Issues	17
Potential Known Issues (under review)	4
Under further investigation	16

- Since April 2012, the volume of incidents has decreased from an average of 13 per day to three (3) per day.

  – Approximately 87% decrease rate

EDPS Edits – Prevention and Resolution

- The EDS team will analyze the EDPS edits in order to provide prevention and resolution strategies for the industry.

- CMS will communicate prevention and resolution strategies through User Group sessions, EDPS bulletins, and EDS Companion Guides:
    - **Phase 1** will include the most frequently occurring edits generated.
    - **Phase 2** will include the common edits received in all subsystems (Professional, Institutional, and DME).
    - **Phase 3** will include all remaining edits.
        - Phase 3 will be ongoing.

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2011 DOS Solution Status

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2011 DOS Solution Status

- CMS is investigating the effective date for submission of 2011 DOS. Once the date is determined:

- MAOs and other entities may submit "*from*" dates of service (DOS) for 2011 and *"through"* DOS for 2012 for:
  - **All** Professional, Institutional, and DME encounters
    - Include Interim Bills **only** when the final bill "through" DOS is 2012.
    - Exclude Home Health, Hospice, SNF, and Swing Bed encounters until further guidance is provided.

- MAOs and other entities must **not** submit encounters with "from" **and** "through" 2011 DOS.
  - EDPS will only process 2012 "through" DOS encounter lines.
  - If 2011 "through" DOS lines are submitted, a line level rejection will be reflected on the MAO-002 report.

EDS
Companion Guides

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TA Materials & Companion Guides

- Professional, Institutional, and DME Companion Guides are now posted on the CSSC Operations website at: http://www.csscoperations.com/internet/cssc3.nsf/docsCat/CSSC~CSSC%20Operations~Encounter%20Data~Companion%20Guides?open&expand=1&navmenu=Encounter^Data||

- Effective January 2013, the EDS Companion Guides will be distributed quarterly.

  - Monthly Companion Guide releases will occur through December 2012.

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Encounter Data User Group Schedule – 4th Quarter 2012

4th Quarter User Group Schedule

- CMS has scheduled all 4th Quarter 2012 User Group dates:

DATE	TIME
October 4, 2012	3:00 P.M. – 4:00 P.M., ET
October 18, 2012	3:00 P.M. – 4:00 P.M., ET
November 1, 2012	3:00 P.M. – 4:00 P.M., ET
November 15, 2012	3:00 P.M. – 4:00 P.M., ET
December 20, 2012	3:00 P.M. – 4:00 P.M., ET

- Questions regarding registration or access information should be directed to the Registrar at 888-330-9994 or TARegistrations@tarsc.info.

EDS Implementation Next Steps

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Next Steps

ED MATERIALS/INFORMATION	TARGET DATE	COMMENTS/ADDITIONAL NOTES
EDPS Edits Prevention and Resolution Strategies	October 2012	Phase 1 – Most frequently occurring edits
1st Quarter 2013 User Group Schedule	November 2012	

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Questions & Answers

Resources

Resources

- CSSC Operations: http://www.csscoperations.com/internet/cssc3.nsf/docsCatHome/CSSC%20Operations

- Encounter Data Outreach Registration: www.tarsc.info

- CMS: www.cms.gov

- EDS Inbox: eds@ardx.net

Resources *(cont'd)*

- X12 Version 5010 Standards: https://www.cms.gov/Regulations-and-Guidance/HIPAA-Administrative-Simplification/Versions5010andD0/index.html?redirect=/Versions5010andD0/

- CEM/CEDI Technical Reporting Formats: http://www.cms.gov/MFFS5010D0/20_TechnicalDocumentation.asp

- Washington Publishing Company: http://www.wpc-edi.com/content/view/817/1

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Closing Remarks

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